

1. Name of Listed Entity:GNA Axles Limited
2. Scrip Code/Name of Scrip/Class of Security: GNA
3. Share Holding Pattern Filed under: 31b
4. Share Holding Pattern as on : 30-Sep-2017
5. **Declaration:** The Listed entity is required to submit the following declaration to the extent of submission of information:-

S. No.	Particulars	Yes/No	
1	Whether the Listed Entity has issued any partly paid up shares?	No	
2	Whether the Listed Entity has issued any Convertible Securities or Warrants?	No	
3	Whether the Listed Entity has any shares against which depository receipts are issued?	No	
4	Whether the Listed Entity has any shares in locked-in?	Yes	Promoter and Promoter Group
5	Whether any shares held by promoters are pledge or otherwise encumbered?	Yes	Promoter and Promoter Group
6	Whether the Listed Entity has issued any differential Voting Rights?	No	

Table I - Summary Statement holding of specified securities

Category (I)	Category of shareholder (II)	Nos. of shareholders (III)	No. of fully paid up equity shares (IV)	No. of Partly paid-up equity shares (V)	No. of shares underlying Depository Receipts (VI)	Total nos. shares held (VII) = (IV)+(V)+(VI)	Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII)	Number of Voting Rights held in each class of securities (IX)			No. of Shares Underlying Outstanding convertible securities (including Warrants) (X)	Shareholding, as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI) = (VII)+(X)	Number of Locked in shares (XII)		Number of Shares pledged or otherwise encumbered (XIII)		Number of equity shares held in dematerialized form (XIV)	
								No of Voting Rights					Total as a % of (A+B+C)	No. (a)	As a % of total Shares held (b)	No. (a)		As a % of total Shares held (b)
								Class eg: X	Class eg: y	Total								
A	Promoter & Promoter Group	10	1516540	0	0	15165400	70.65	15165400	15165400	70.65	0	70.65	4293080	28.31	650000	4.29	15165400	

B	Public	15166	6300000	0	0	6300000	29.35	6300000	0	6300000	29.35	0	29.35		0.00			6300000
C	Non Promoter-Non Public	0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00	0	0.00	0
C1	Shares underlying DRs	0	0	0	0	0	0.00	0	0	0	0.00	0	0.00		0.00			0
C2	Shares held by Employee Trusts	0	0	0	0	0	0.00	0	0	0	0.00	0	0.00		0.00			0
	Total	15176	21465400	0	0	21465400	100.00	21465400	0	21465400	100.00	0	100.00	4293080	20.00	650000	3.03	21465400

Table II - Statement showing shareholding pattern of the Promoter and Promoter Group

Category & Name of the Shareholders	PAN (II)	No. of share holder (III)	No. of fully paid up equity shares held (IV)	Partly paid-up equity shares held (V)	Nos. of shares underlying Depository Receipts (VI)	Total nos. shares held (VII = IV+V+VI)	Shareholding % calculate d as per SCRR, 1957 (VIII)	Number of Voting Rights held in each class of securities (IX)			No. of Shares Outstanding convertible securities (including Warrants) (X)	Shareholding, as a % assuming full conversion of convertible securities (XI) = (VII)+(X) as a % of A+B+C2	Number of Locked in shares (XII)		Number of Shares pledged or otherwise encumbered (XIII)		Number of equity shares held in dematerialized form (XIV)	
								Class X	Class Y	Total			No. (a)	As a % of total Shares held (b)	No. (a)	As a % of total shares held (b)		
1. Indian Individuals/Hindu undivided Family		10	15165400	0	0	15165400	70.65	15165400	0	15165400	70.65	0	70.65	4293080	28.31	650000	4.29	15165400
RACHHPAL SINGH	AIZP S6470M		1790400	0	0	1790400	8.34	1790400	0	1790400	8.34	0	8.34	0	0.00	350000	19.55	1790400
MANINDER SINGH	ABO PS6803J		2630400	0	0	2630400	12.25	2630400	0	2630400	12.25	0	12.25	0	0.00	0	0.00	2630400
JASVINDER SINGH	AJKP S0269E		2630400	0	0	2630400	12.25	2630400	0	2630400	12.25	0	12.25	2146540	81.61	300000	11.41	2630400
HARJINDER KAUR	AAV PK7896D		531500	0	0	531500	2.48	531500	0	531500	2.48	0	2.48	0	0.00	0	0.00	531500
KULWIN SEEHRA	BDIP S8417F		212500	0	0	212500	0.99	212500	0	212500	0.99	0	0.99	0	0.00	0	0.00	212500
KEERAT SEEHRA	DKFP S5927G		212500	0	0	212500	0.99	212500	0	212500	0.99	0	0.99	0	0.00	0	0.00	212500

	RANBIR SINGH	ABQ PS06 92H		2630400	0	0	2630400	12.25	2630400	0	2630400	12.25	0	12.25	1073270	40.80	0	0.00	2630400
	GURSARAN SINGH	ABQ PS07 00E		1365400	0	0	1365400	6.36	1365400	0	1365400	6.36	0	6.36	0	0.00	0	0.00	1365400
	MOHINDER KAUR	AAU PK75 96F		531500	0	0	531500	2.48	531500	0	531500	2.48	0	2.48	0	0.00	0	0.00	531500
	GURDEEP SINGH	ABO PS68 05Q		2630400	0	0	2630400	12.25	2630400	0	2630400	12.25	0	12.25	1073270	40.80	0	0.00	2630400
b	Central Government/ State Government(s)		0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00	0	0.00	0
c	Financial Institutions/ Banks		0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00	0	0.00	0
d	Any Other (specify)		0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00	0	0.00	0
	Sub-Total (A)(1)		10	15165400	0	0	15165400	70.65	15165400	0	15165400	70.65	0	70.65	4293080	28.31	650000	4.29	15165400
2	Foreign		0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00	0	0.00	0
a	Individuals (Non-Resident Individuals/ Foreign Individuals)		0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00	0	0.00	0
b	Government		0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00	0	0.00	0
c	Institutions		0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00	0	0.00	0
d	Foreign Portfolio Investor		0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00	0	0.00	0

e	Any Other (specify)		0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00	0	0.00	0
	Sub-Total (A)(2)		0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00	0	0.00	0
	Total Shareholding of Promoter and Promoter Group (A)= (A)(1)+(A)(2)		10	15165400	0	0	15165400	70.65	15165400	15165400	70.65	0	70.65	4293080	28.31	650000	4.29	15165400	

Table III - Statement showing shareholding pattern of the Public shareholder

	Category & Name of the Shareholders (I)	PAN (II)	Nos. of shareholder (III)	No. of fully paid up equity shares held (IV)	Partly paid-up equity shares held (V)	Nos. of shares underlying Depository Receipts (VI)	Total nos. shares held VII = IV+V+VI	Shareholding % calculated as per SCRR, 1957 As a % of (A+B+C2) VIII	Number of Voting Rights held in each class of securities (IX)			No. of Shares Underlying Outstanding convertible securities (including Warrants) (X)	Total shareholding, as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)	Number of Locked in shares (XII)		Number of Shares pledged or otherwise encumbered (XIII)		Number of equity shares held in dematerialized form (XIV)	
									No of Voting Rights					Total as a % of Total Voting rights	No. (a)	As a % of total Shares held (b)	No. (Not applicable) (a)		As a % of total shares held (Not applicable) (b)
									Class X	Class Y	Total								
1	Institutions		0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00	0	0	
a	Mutual Funds/		15	1552264	0	0	1552264	7.23	1552264	1552264	7.23	0	7.23	0.00				1552264	
	UTI Retirement Benefit Pension Fund	AAATU1088L		229350	0	0	229350	1.07	229350	229350	1.07	0	1.07	0.00				229350	
	UTI BALANCED FUND	AAATU1088L		387509	0	0	387509	1.81	387509	387509	1.81	0	1.81	0.00				387509	
	HDFC Trustee Company Limited -	AAATH1809A		242200	0	0	242200	1.13	242200	242200	1.13	0	1.13	0.00				242200	

	HDFC Children Gift Fund																		
b	Venture Capital Funds		0	0	0	0	0	0.00	0	0	0	0.00	0	0.00		0.00			0
c	Alternate Investment Funds		2	880000	0	0	880000	4.10	880000	0	880000	4.10	0	4.10		0.00			880000
	Sundaram Alternative Investment Fund	AAQ AS91 69Q	0	714000	0	0	714000	3.33	714000	0	714000	3.33	0	3.33		0.00			714000
d	Foreign Venture Capital Investors		0	0	0	0	0	0.00	0	0	0	0.00	0	0.00		0.00			0
e	Foreign Portfolio Investors		1	44143	0	0	44143	0.21	44143	0	44143	0.21	0	0.21		0.00			44143
f	Financial Institutions/ Banks		3	10431	0	0	10431	0.05	10431	0	10431	0.05	0	0.05		0.00			10431
g	Insurance Companies		0	0	0	0	0	0.00	0	0	0	0.00	0	0.00		0.00			0
h	Provident Funds/ Pension Funds		0	0	0	0	0	0.00	0	0	0	0.00	0	0.00		0.00			0
i	Any Other (specify)		0	0	0	0	0	0.00	0	0	0	0.00	0	0.00		0.00			0
	Sub-Total (B)(1)		21	2486838	0	0	2486838	11.59	2486838	0	2486838	11.59	0	11.59	0	0.00			2486838
2	Central Government/ State Government(s)		0	0	0	0	0	0.00	0	0	0	0.00	0	0.00		0.00			0

)/ President of India																		
	Sub-Total (B)(2)	0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00			0	
3	Non-institutions	0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00	0	0.00	0	
a	Individuals -	13758	2382134	0	0	2382134	11.10	2382134	0	2382134	11.10	0	11.10	0	0.00	0	0.00	2382134	
i	Individual shareholders holding nominal share capital up to Rs. 2 lakhs.	13752	2137356	0	0	2137356	9.96	2137356	0	2137356	9.96	0	9.96		0.00			2137356	
ii	Individual shareholders holding nominal share capital in excess of Rs. 2 lakhs.	6	244778	0	0	244778	1.14	244778	0	244778	1.14	0	1.14		0.00			244778	
b	NBFCs registered with RBI	0	0	0	0	0	0.00	0	0	0	0.00	0	0.00		0.00			0	
c	Employee Trusts	0	0	0	0	0	0.00	0	0	0	0.00	0	0.00		0.00			0	
d	Overseas Depositories (holding DRs) (balancing figure)	0	0	0	0	0	0.00	0	0	0	0.00	0	0.00		0.00			0	
e	Any Other (specify)	1387	1431028	0	0	1431028	6.67	1431028	0	1431028	6.67	0	6.67		0.00			1431028	
	Hindu Undivided	615	130850	0	0	130850	0.61	130850	0	130850	0.61	0	0.61		0.00			130850	

	Family																	
	Non-Resident Indian (NRI)	267	722720	0	0	72272	0.34	722720	72272	0.34	0	0.34		0.00			72272	
	Clearing member	273	504414	0	0	504414	2.35	504414	504414	2.35	0	2.35		0.00			504414	
	Body Corporate	232	723492	0	0	723492	3.37	723492	723492	3.37	0	3.37		0.00			723492	
	Sub-Total (B)(3)	15145	3813162	0	0	3813162	17.77	3813162	3813162	17.77	0	17.77	0	0.00			3813162	
	Total Public Shareholding (B)= (B)(1)+(B)(2)+(B)(3)	15166	6300000	0	0	6300000	29.36	6300000	6300000	29.36	0	29.36	0	0.00			6300000	

Table IV - Statement showing shareholding pattern of the Non Promoter- Non Public shareholder

Category & Name of the Shareholders (I)	PAN (II)	No. of share holder (III)	No. of fully paid up equity shares held (IV)	Partly paid-up equity shares held (V)	Nos. of shares underlying Depository Receipts (VI)	Total no. shares held (VII = IV+V+VI)	Shareholding % calculate d as per SCRR, 1957 (VIII)	Number of Voting Rights held in each class of securities (IX)			No. of Underlying Outstanding convertible securities (including Warrants) (X)	Total shareholding, as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)	Number of Locked in shares (XII)		Number of Shares pledged or otherwise encumbered (XIII)		Number of equity shares held in dematerialized form (XIV) (Not Applicable)	
								No of Voting Rights					Total as a % of Total Voting rights	No.	As a % of total Shares held	No. (Not applicable)		As a % of total shares held (Not applicable)
								Class X	Class Y	Total								
1	Custodian/DR Holder	0	0	0	0	0	0.00	0	0	0	0.00	0	0.00			0		
2	Employee Benefit Trust (under SEBI (Share based Employee Benefit) Regulations, 2014)	0	0	0	0	0	0.00	0	0	0	0.00	0	0.00			0		
	Total Non-Promoter- Non Public Shareholding (C)= (C)(1)+(C)(2)	0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00	0		

Table II- Unclaim Details

Details of Shares which remain unclaimed may be given here along with details such as number of shareholders, outstanding shares held in demat/unclaimed suspense account, voting rights which are frozen etc.	
No. of shareholders	No of share held

Table III- Unclaim Details

Details of Shares which remain unclaimed may be given here along with details such as number of shareholders, outstanding shares held in demat/unclaimed suspense account, voting rights which are frozen etc.	
No. of shareholders	No of share held

Table III- Person in Concert

Details of the shareholders acting as persons in Concert including their Shareholding			
Name of shareholder	Name of PAC	No. of share	Holding %